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Docket No.: WD2-98-017

Total No. of Pages in this Submission: 201

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

BOX PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**APPLICATION TRANSMITTAL LETTER**

Transmitted herewith for filing under 35 U.S.C. §111(a) and 37 C.F.R. §1.53(b) is a new utility patent application of:

Inventor(s): Magdalena MIK, Jay S. WALKER, Daniel E. TEDESCO, Andrew S. VAN LUCHENE and James A. JORASCH

for: SYSTEM AND METHOD FOR PROCESSING A CHARGE APPLIED TO A FINANCIAL ACCOUNT

This application is a:  CONTINUATION  
 CONTINUATION-IN-PART  
 DIVISIONAL

of prior application no(s).:

With respect to the inventorship of the co-pending parent application, from which this application claims benefit under 35 U.S.C. § 120, the inventor(s) in this application is (are) less than those named in the co-pending parent application and the following inventor(s) should be deleted from this application:

Inventor(s) \_\_\_\_\_

**Papers Enclosed:**

Enclosed are:

<input checked="" type="checkbox"/>	45 Page Application, including 16 pages of claims and 1 page of Abstract
<input checked="" type="checkbox"/>	17 Sheets of formal drawings/Figs. 1-16
<input checked="" type="checkbox"/>	Express Mail Certificate
<input checked="" type="checkbox"/>	An Assignment of the Invention to <u>Walker Asset Management Limited Partnership</u> with Recordation Cover Sheet
<input checked="" type="checkbox"/>	Verified Statement Claiming Small Entity Status (Small Business Concern)
<input checked="" type="checkbox"/>	Information Disclosure Statement, Form PTO-1449 and Copies of references
<input checked="" type="checkbox"/>	Combined Declaration and Power of Attorney
	Preliminary Amendment
	Other:

**Fee Calculation:**

The filing fee has been calculated as shown below:

(Col. 1)

(Col. 2)

SMALL ENTITY

LARGE ENTITY

FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	53 - 20 =	33
INDEPENDENT CLAIMS	27 - 3 =	24
MULTIPLE DEPENDENT CLAIMS PRESENTED: 0		

\*If the difference in Col. 1 is less than zero, enter "0" in Col. 2

OR	RATE	Fee
OR		\$395
OR		\$790
OR	X \$22 =	\$0
OR	X \$82 =	\$0
OR	X \$260=	\$0
OR	TOTAL	\$0

**Method of Payment:**

Please charge \$ 1742.00 to Deposit Account No. 50-0271. A duplicate copy of this paper is enclosed.

The Assistant Commissioner is hereby authorized to charge any fees under 37 C.F.R. § 1.16 or § 1.17 which may be required during the pendency of this application, or to credit any overpayment, to Deposit Account No. 50-0271.

**Address for Correspondence:**

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Respectfully submitted,  
 Walker Asset Management Limited Partnership

Dated: June 16, 1998

By   
 Dean Alderucci  
 Reg. No. 40,484  
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## Mailing Address:

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In the Application of: )  
Magdalena MIK, Jay S. WALKER, Daniel E. TEDESCO, )  
Andrew S. VAN LUCHENE and James A. JORASCH )  
Examiner: To be assigned )  
for SYSTEM AND METHOD FOR PROCESSING A )  
CHARGE APPLIED TO A FINANCIAL ACCOUNT )  
Group Art Unit: To be assigned )  
Serial No.: To be assigned )  
Filed: June 16, 1998 )  
Docket No.: WD2-98-017 )

**CERTIFICATE UNDER 37 CFR 1.10 OF MAILING BY "EXPRESS MAIL"**

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June 16, 1998  
Date of Depos

I hereby certify that the attached papers or fees:

Transmittal Letter (in dupl.)  
Patent Application (45 pages)  
Formal Drawings (17 sheets – Figs. 1-16)  
Combined Declaration and Power of Attorney  
Verified Statement Claiming Small Entity Status  
Assignment with Recordation Cover Sheet  
Information Disclosure Statement, Form PTO-1449 and Copies of References  
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are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

Charles A. Rattner (PTO Reg. No. 40,136)  
(Typed or printed name of person mailing papers or fees)

Alie Patten